

**DANA L. GARDNER**  
**WILLAMETTE FINANCIAL ADVISORS, LLC**

5335 SW Meadows Road, Suite 240  
Lake Oswego, Oregon 97035

(503) 747-0306

February 5, 2020

[www.willamettefinancialadvisors.com](http://www.willamettefinancialadvisors.com)  
[www.wfinadv.com](http://www.wfinadv.com)

This Brochure Supplement provides information about Dana L. Gardner with Willamette Financial Advisors, LLC (“WFA”) that supplements the Willamette Financial Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact James M. Jones, CFP®, at (503) 747-0306 or [jimj@wfinadv.com](mailto:jimj@wfinadv.com) if you did not receive WFA’s Brochure, or if you have any questions about the contents of this Brochure Supplement.

Additional information about Dana L. Gardner, CRD # 2727532, is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Dana L. Gardner

Year of Birth: 1953

#### Education

Bachelor of Science, Business Administration, University of Oregon, 1979

#### Business Background

1/2015 to Present	Investment Advisor Representative Willamette Financial Advisors, LLC
1/2015 to 12/2017	Corporate Investment Advisor & Registered Representative Centaurus Financial, Inc.
1/2009 to 12/2014	Registered Representative & Investment Advisor Representative SagePoint Financial, Inc.
10/2005 to 01/2009	Registered Representative AIG Financial Advisors, Inc.
6/2003 to 10/2005	Registered Representative SunAmerica Securities, Inc.
6/1996 to 6/2003	Registered Representative Waddell & Reed, Inc.

#### Industry Examinations and Professional Designations

Ms. Gardner has previously taken and passed the following industry examinations:

FINRA Series 6:	Investment Company Products/Variable Contracts Rep. Examination
FINRA Series 7:	General Securities Representative Examination
FINRA Series 66:	Uniform Combined State Law Examination

Ms. Gardner holds the following insurance licenses:

Life & Health & Disability Insurance License (Oregon, Washington, California)

## Item 3 Disciplinary Information

Ms. Gardner has not been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of him or any of the services he provides.

## Item 4 Other Business Activities

Dana L. Gardner is licensed as an insurance agent, is appointed with multiple insurance carriers, and engages in the business of selling insurance products on behalf of WFA. In those situations when it

is suitable and appropriate to meet a Client's insurance needs, WFA may place life, health and disability insurance contracts for Clients. Ms. Gardner holds an insurance license in the states of Oregon, Washington, and California.

**Item 5 Additional Compensation**

When Ms. Gardner acts in the capacity of an insurance agent, she may participate in and receive the usual and customary commissions or fees on the sale of insurance products which the Client purchases.

Because the receipt of commissions or fees by Ms. Gardner presents a conflict of interest, Clients are informed that they are under no obligation to use Ms. Gardner (or any individual associated with WFA) for insurance products or services, or any other business activities. Clients may use any insurance agent they choose.

Please also see Items 5, 10, 12 and 14 of Form ADV Part 2A.

**Item 6 Supervision**

Dr. Ronald A. Barry and Mr. James M. Jones, Managing Members of Willamette Financial Advisors, LLC, have oversight responsibility for all services and advice provided to Clients of WFA. Mr. Jones and Dr. Barry are also responsible for the overall compliance policies and procedures of the firm.